

# Aldehydes and Ketones



## Part 2

**B. Pharm. Semester-1**

**Course Code: 0510210; Session: 2022-2023**

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# Learning Outcomes

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**At the end of this lesson, students will be able to describe  
Reactions of Aldehydes and Ketones**

- **Oxidation reactions of Aldehydes and Ketones**
- **Nucleophilic addition reactions of Aldehydes and Ketones**
- **Nucleophilic Addition of  $\text{H}_2\text{O}$ : Hydration**
- **Nucleophilic Addition of  $\text{HCN}$ : Cyanohydrin formation**
- **Nucleophilic Addition of Grignard Reagents: Alcohol Formation**

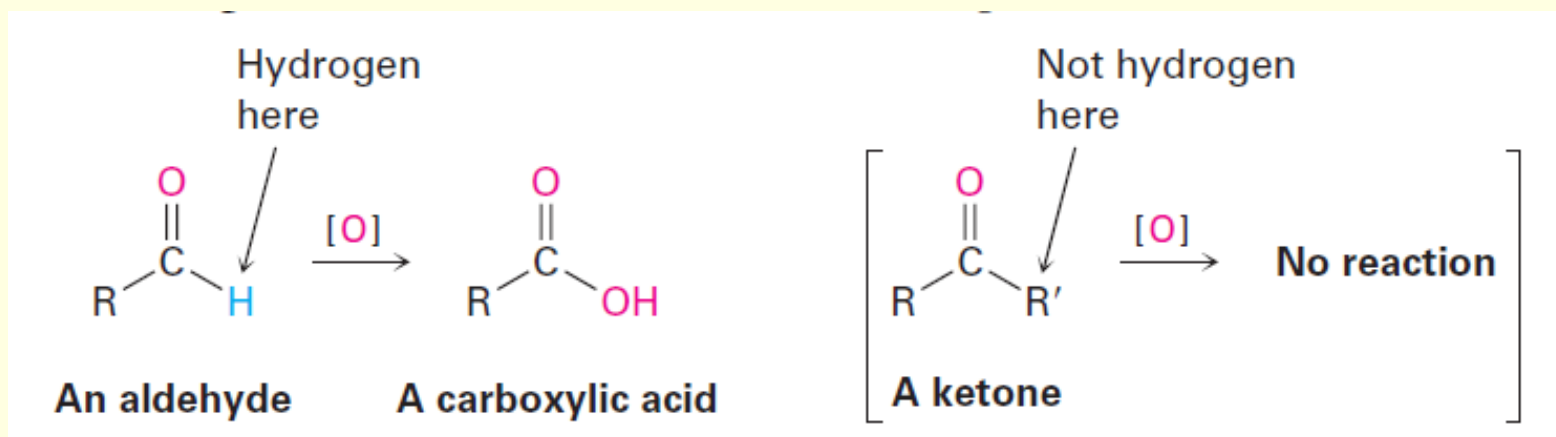
# Objective

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The objective of this course is to give to the students of pharmacy the basic knowledge about the organic chemistry.

# Oxidation reactions of Aldehydes and Ketones

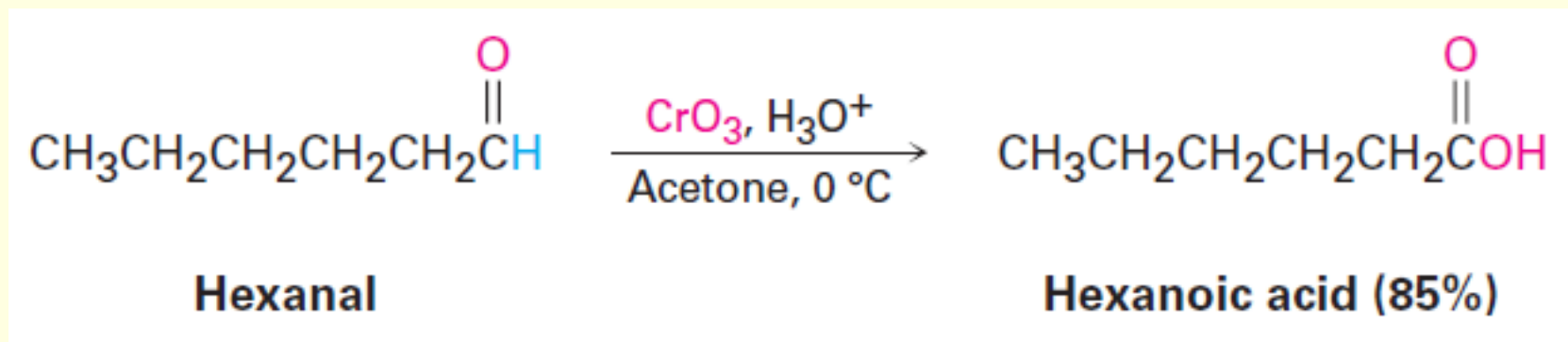
- ❖ Aldehydes are easily oxidized to yield carboxylic acids, but ketones are generally inert toward oxidation.
- ❖ The difference is a consequence of structure: aldehydes have R-CHO proton that can be abstracted during oxidation, but ketones do not.



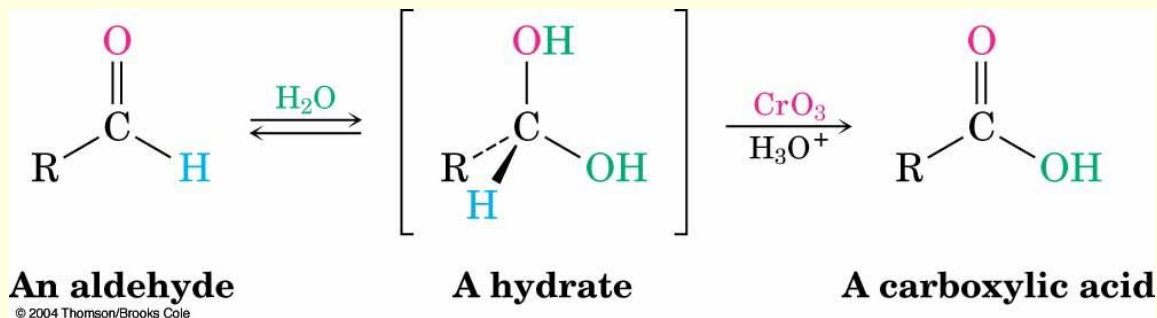
Many oxidizing agents including  $\text{KMnO}_4$  can convert aldehydes into carboxylic acids, but  $\text{CrO}_3$  in aqueous acid is the best choice.

# Oxidation reactions of Aldehydes

The oxidation occurs rapidly at room temperature and generally results in good yields.

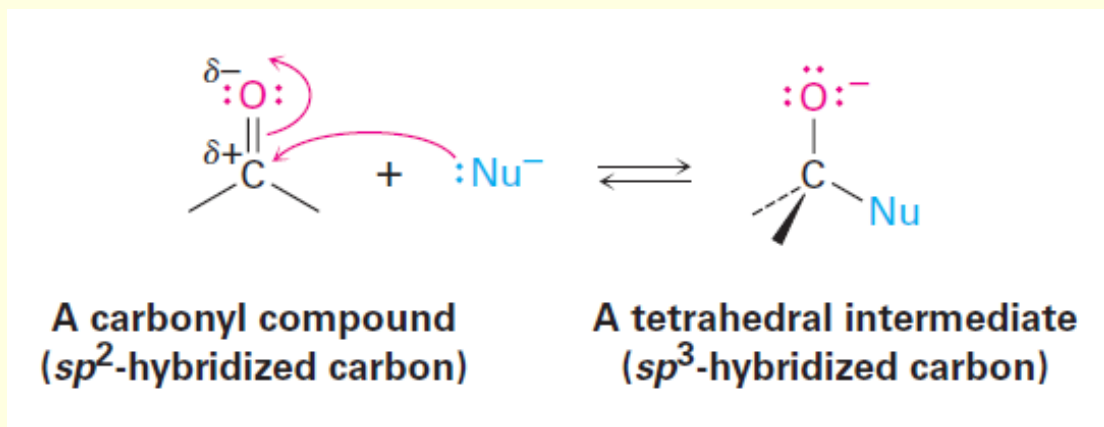


Aldehyde oxidations occur through intermediate 1,1-diols, or hydrates, which are formed by a reversible nucleophilic addition of water to the carbonyl group.



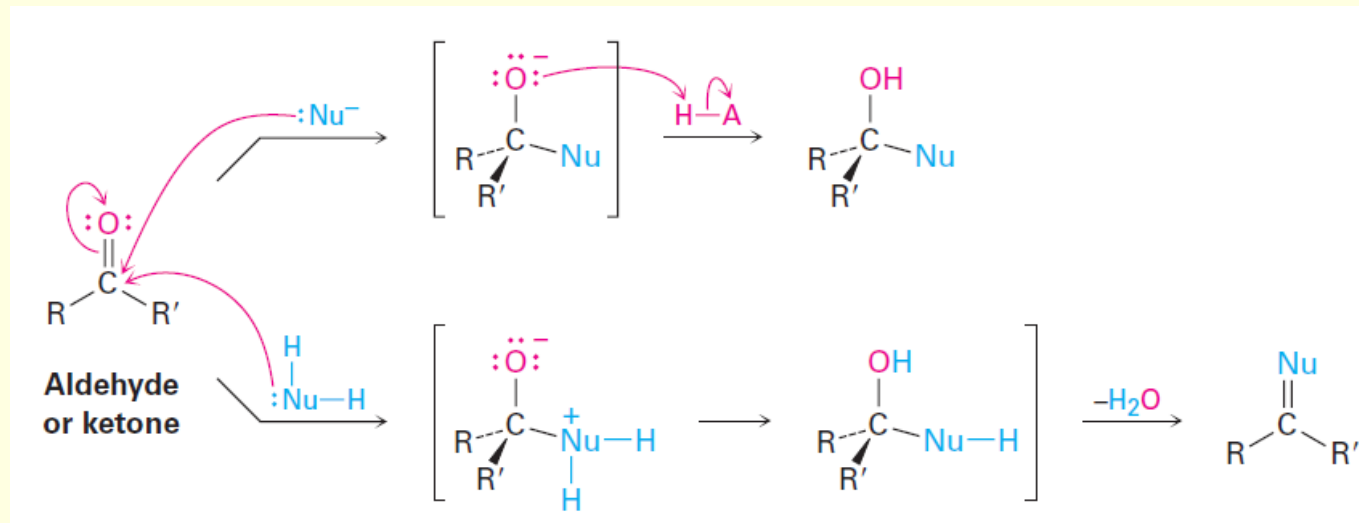
# Nucleophilic Addition Reactions of Aldehydes and Ketones

- The most common reaction of aldehydes and ketones is the nucleophilic addition reaction.
- Nucleophile adds to the electrophilic carbon of the carbonyl group.
- Since the nucleophile uses an electron pair to form a new bond to carbon, two electrons from the carbon–oxygen double bond must move toward the electronegative oxygen atom to give an alkoxide anion.



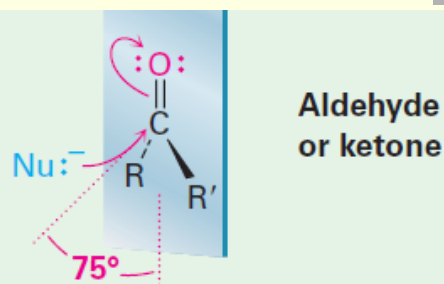
# Nucleophilic Addition Reactions of Aldehydes and Ketones

- Depending on the nature of the nucleophile, the tetrahedral alkoxide intermediate can undergo either two further reactions.
- Tetrahedral alkoxide intermediate is protonated by water or acid to form an **alcohol** product.
- Alternatively, the tetrahedral intermediate can be protonated and expel the oxygen to form a new double bond between the carbonyl carbon and the nucleophile.

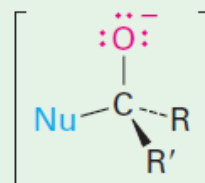


# Mechanism of Nucleophilic Addition Reactions of Aldehydes and Ketones

**1** An electron pair from the nucleophile adds to the electrophilic carbon of the carbonyl group, pushing an electron pair from the C=O bond onto oxygen and giving an alkoxide ion intermediate. The carbonyl carbon rehybridizes from  $sp^2$  to  $sp^3$ .

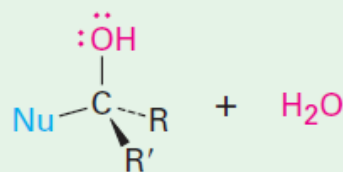


**1** ↓



Alkoxide ion

**2** ↓  $\text{H}_3\text{O}^+$



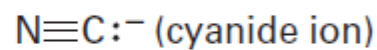
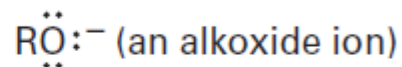
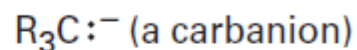
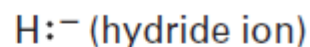
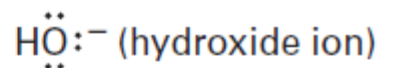
Alcohol

**2** Protonation of the alkoxide anion intermediate gives the neutral alcohol addition product.

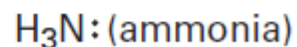
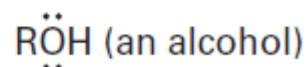
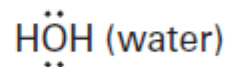
# Nucleophiles

The nucleophile can be either negatively charged ( $\text{:Nu}^-$ ) or neutral ( $\text{:Nu}$ ). If it's neutral, it usually carries a hydrogen atom that can subsequently be eliminated,  $\text{:Nu-H}$ .

## Some negatively charged nucleophiles



## Some neutral nucleophiles

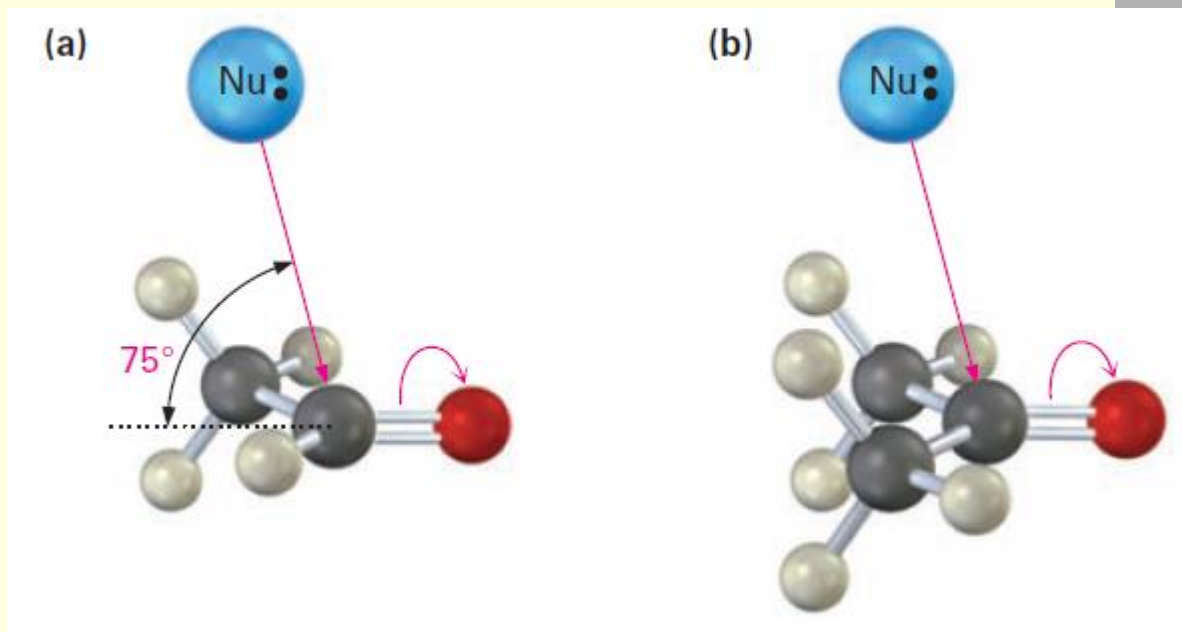


# Relative Reactivity of Aldehydes and Ketones

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- ✓ Aldehydes are generally more reactive than ketones in nucleophilic addition reactions for both steric and electronic reasons.
- ✓ Sterically, the presence of only one large substituent bonded to the  $\text{C}=\text{O}$  carbon in an aldehyde versus two large substituents in a ketone means that a nucleophile is able to approach an aldehyde more readily.
- ✓ Thus, the transition state leading to the tetrahedral intermediate is less crowded and lower in energy for an aldehyde than for a ketone.

# Relative Reactivity of Aldehydes and Ketones



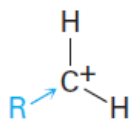
**Aldehyde**

**Ketone**

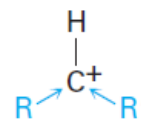
Electronically, aldehydes are more reactive than ketones because of the greater polarization of aldehyde.

# Electrophilicity of Aldehydes and Ketones

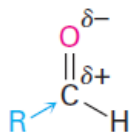
- ❑ A primary carbocation is higher in energy, so it is more reactive than a secondary carbocation, because it has only one alkyl group inductively stabilizing the positive charge than two.
- ❑ In the same way, an aldehyde has only one alkyl group inductively stabilizing the partial positive charge on the carbonyl carbon rather than two, is a bit more electrophilic, and is therefore more reactive than a ketone.



**1° carbocation**  
(less stable, more reactive)



**2° carbocation**  
(more stable, less reactive)



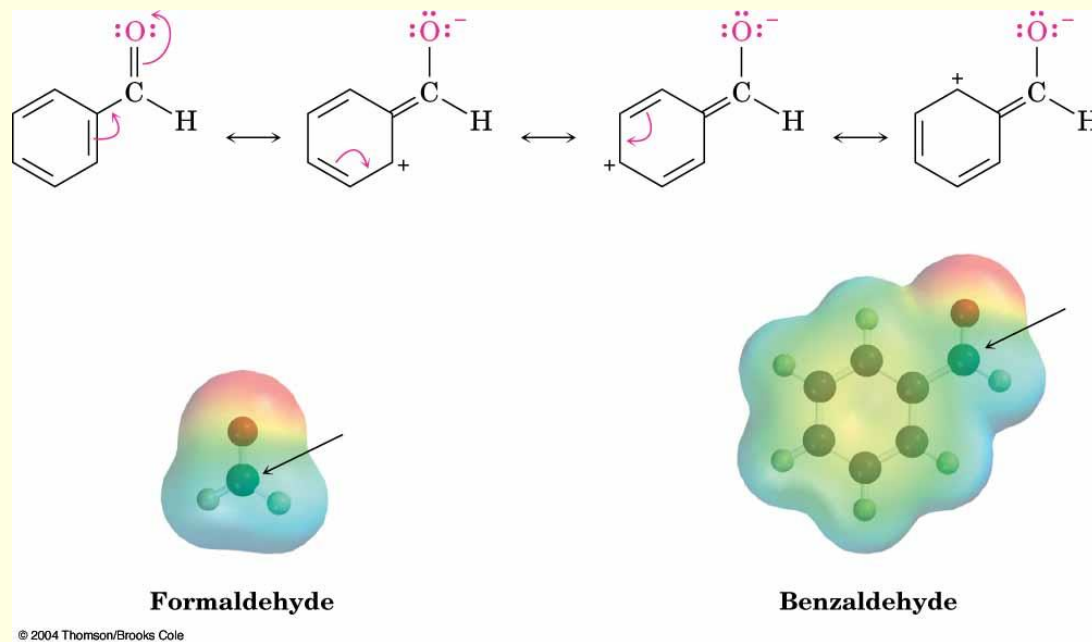
**Aldehyde**  
(less stabilization of  $\delta^+$ , more reactive)



**Ketone**  
(more stabilization of  $\delta^+$ , less reactive)

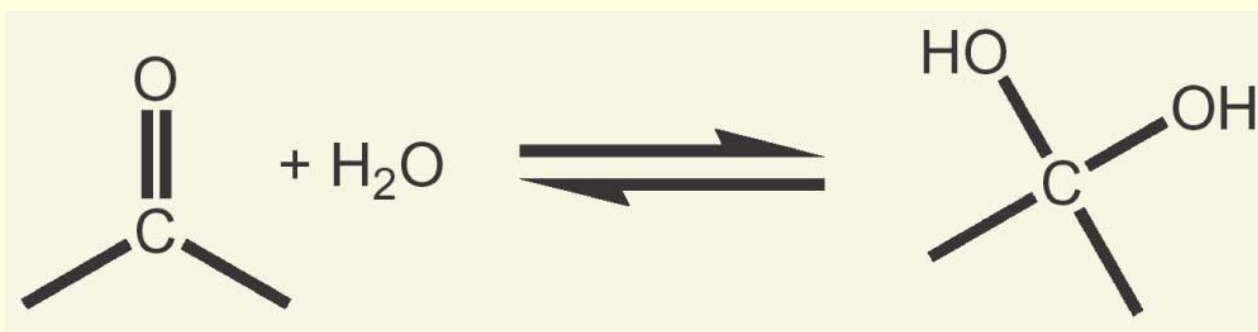
# Reactivity of Aromatic Aldehydes

Aromatic aldehydes like benzaldehyde are less reactive in nucleophilic addition reactions than aliphatic aldehydes because the electron-donating resonance effect of the aromatic ring makes the carbonyl group less electrophilic.



# Nucleophilic Addition of H<sub>2</sub>O: Hydration

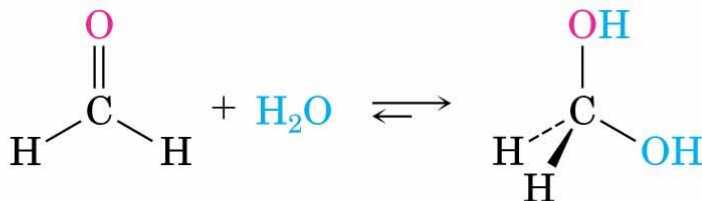
- Aldehydes and ketones react with water to yield 1,1-diols, or geminal (gem) diols.
- The hydration reaction is reversible, and a gem diol can eliminate water to regenerate an aldehyde or ketone.



- The equilibrium generally favors the carbonyl compound for steric reasons, but the gem diol is favored for a few simple aldehydes.

# Nucleophilic Addition of H<sub>2</sub>O: Hydration

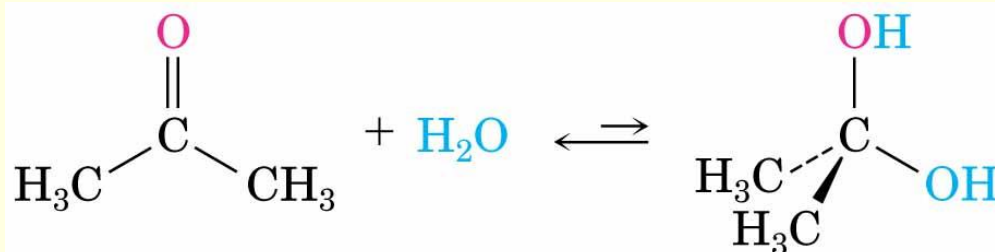
- An aqueous solution of formaldehyde consists of 99.9% gem diol and 0.1% aldehyde at equilibrium, whereas
- An aqueous solution of acetone consists of only about 0.1% gem diol and 99.9% ketone.



**Formaldehyde (0.1%)**

**Formaldehyde hydrate (99.9%)**

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**Acetone (99.9%)**

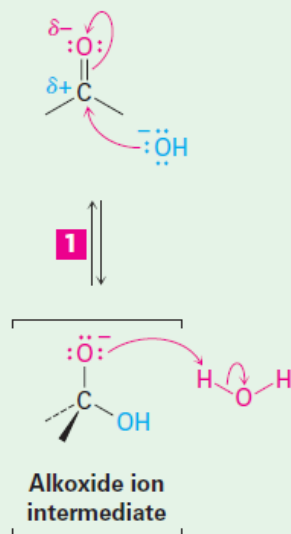
**Acetone hydrate (0.1%)**

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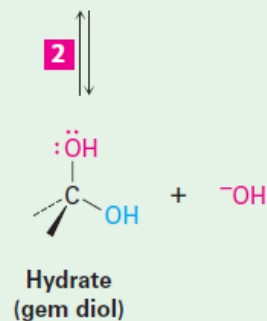
# Nucleophilic Addition of H<sub>2</sub>O: Mechanism

## (a) Basic conditions

**1** The negatively charged nucleophile  $\text{OH}^-$  adds to the electrophilic carbon and pushes  $\pi$  electrons from the  $\text{C}=\text{O}$  bond onto **oxygen**, giving an alkoxide ion.

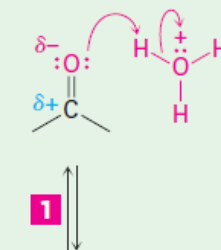


**2** The alkoxide ion is protonated by **water** to give the neutral hydrate as the addition product and regenerating  $\text{OH}^-$ .

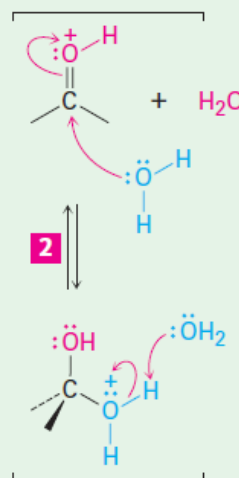


## (b) Acidic conditions

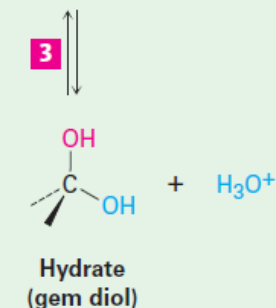
**1** The carbonyl oxygen is protonated by acid  $\text{H}_3\text{O}^+$ , making the carbon more strongly electrophilic



**2** The neutral nucleophile  $\text{:}\ddot{\text{O}}\text{H}_2$  adds to the electrophilic carbon, pushing the  $\pi$  electrons from the  $\text{C}=\text{O}$  onto **oxygen**. The oxygen becomes neutral, and the nucleophile gains the + charge.

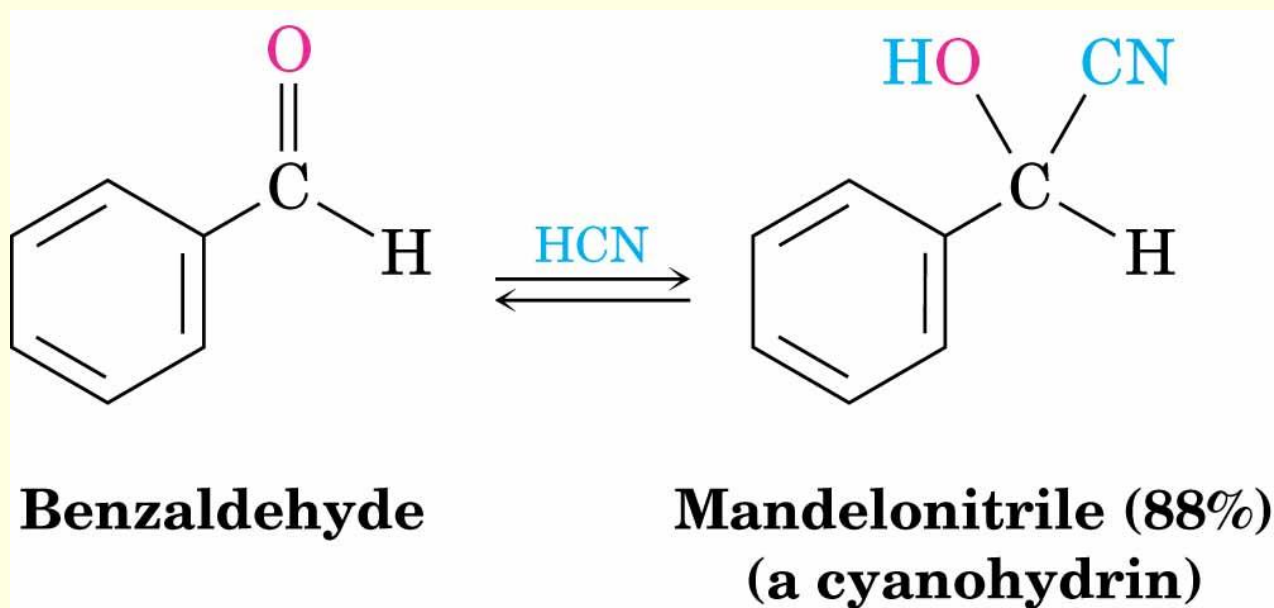


**3** **Water** deprotonates the intermediate, giving the neutral hydrate addition product and regenerating the acid catalyst  $\text{H}_3\text{O}^+$ .



# Nucleophilic Addition of HCN: Cyanohydrin formation

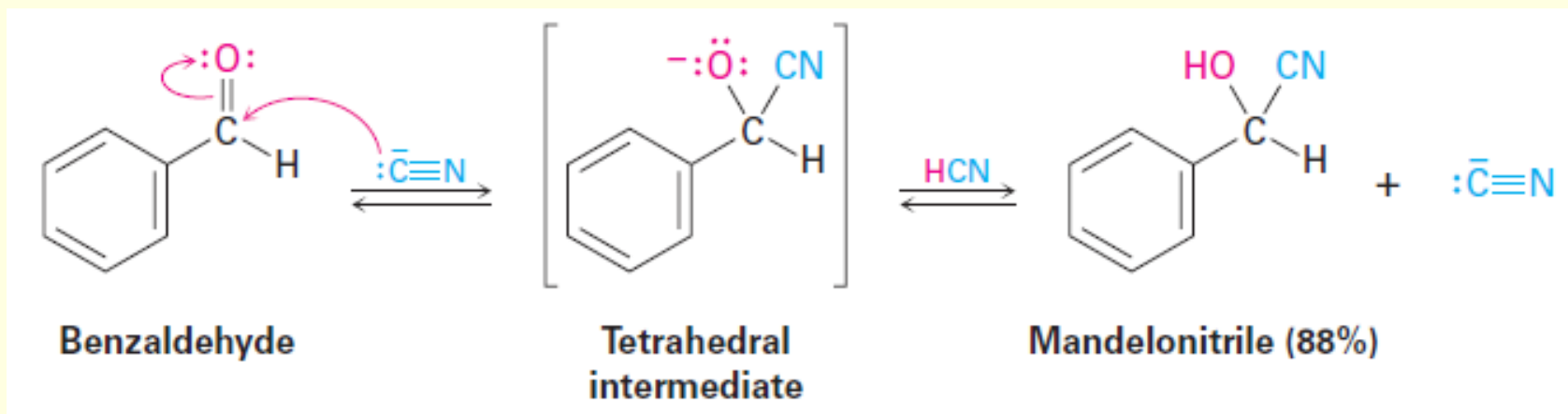
Aldehydes and unhindered ketones undergo a nucleophilic addition reaction with HCN to yield cyanohydrins,  $\text{RCH(OH)C}\equiv\text{N}$ .



# Nucleophilic Addition of HCN:

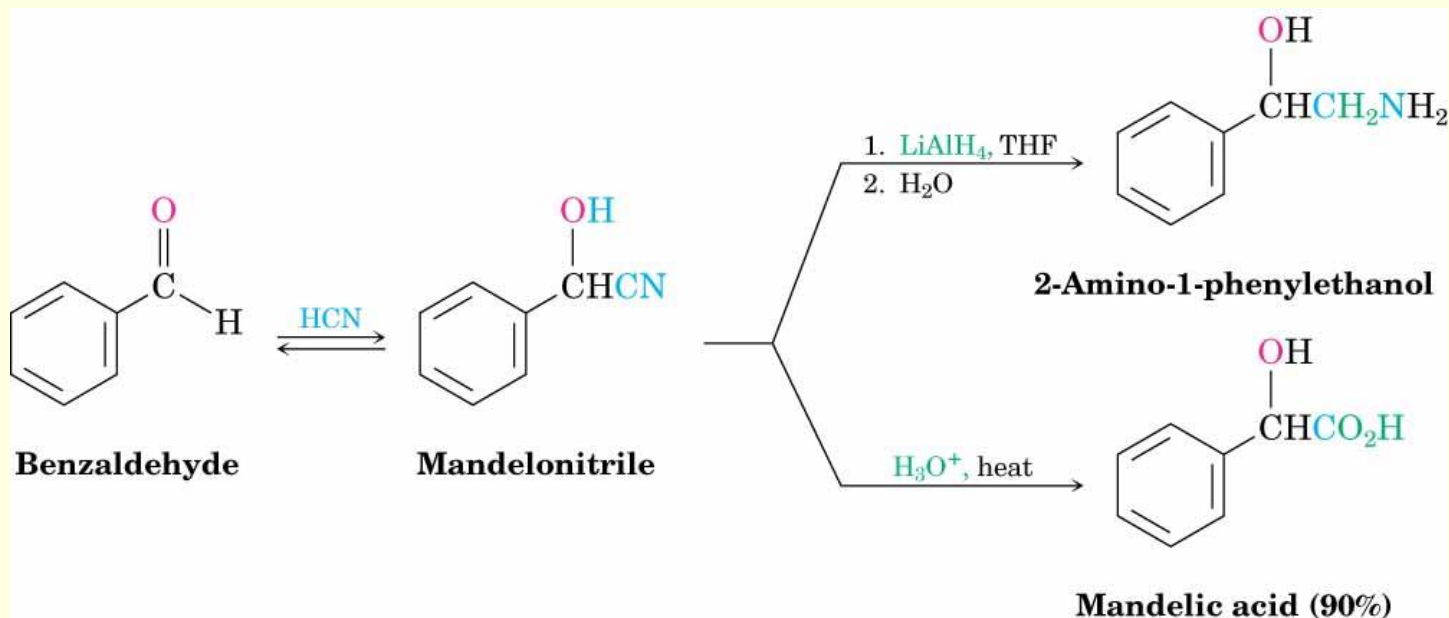
## Cyanohydrin formation: Mechanism

Addition of  $\text{CN}^-$  takes place by a typical nucleophilic addition pathway, yielding a tetrahedral intermediate that is protonated by HCN to give cyanohydrin product plus regenerated  $\text{CN}^-$ .



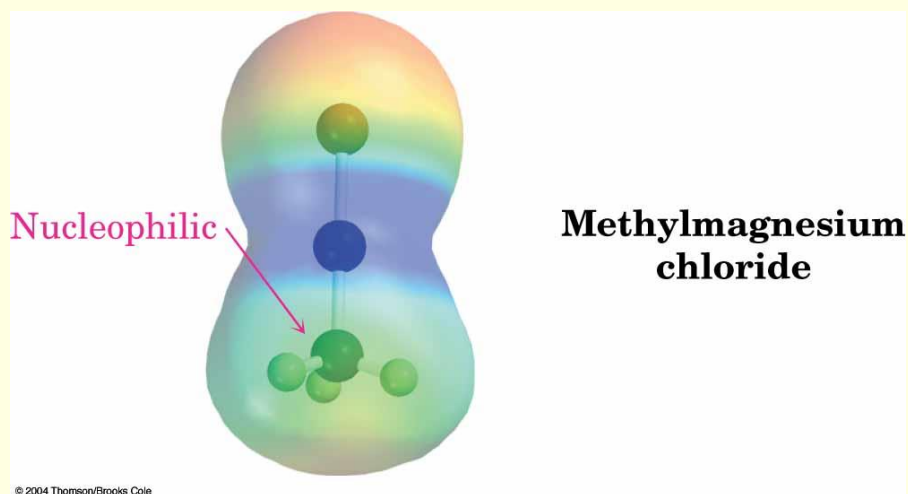
# Uses of Cyanohydrin

- ✓ Cyanohydrin formation provides a method for transforming an aldehyde or ketone into a different functional group.
- ✓ A nitrile ( $R-C\equiv N$ ) can be reduced with  $LiAlH_4$  to yield a primary amine ( $RCH_2NH_2$ ) and can be hydrolyzed by hot aqueous acid to yield a carboxylic acid.



# Nucleophilic Addition of Grignard Reagents: Alcohol Formation

- ✓ Treatment of aldehyde or ketone with Grignard reagents yields an alcohol.
- ✓ Nucleophilic addition of the equivalent of a carbon anion, or carbanion.
- ✓ A carbon–magnesium bond is strongly polarized, so a Grignard reagent ( $\text{R:}^- \text{ } ^+\text{Mg-X}$ ) reacts for all practical purposes.

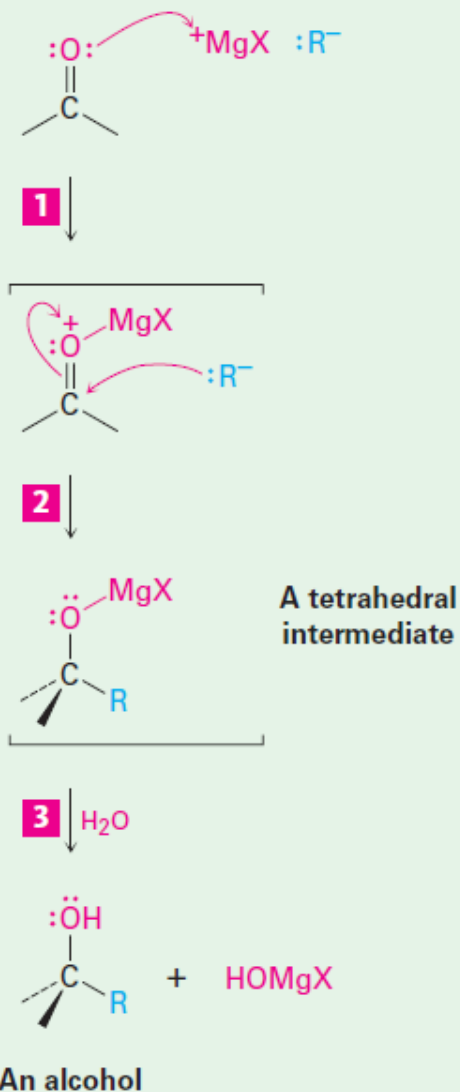


# Nucleophilic Addition of Grignard Reagents: Alcohol Formation: Mechanism

**1** The Lewis acid  $\text{Mg}^{2+}$  first forms an acid-base complex with the basic oxygen atom of the aldehyde or ketone, thereby making the carbonyl group a better acceptor.

**2** Nucleophilic addition of an alkyl group  $\text{R}^-$  to the aldehyde or ketone produces a tetrahedral magnesium alkoxide intermediate...

**3** ... which undergoes hydrolysis when water is added in a separate step. The final product is a neutral alcohol.



## REFERENCES

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### Textbooks:

1. **Organic Chemistry, 9<sup>th</sup> Edition, 2015, Author: John E. McMurry, Publisher: Cengage Learning, ISBN: 978-1305080485.**
2. **Organic Chemistry, 7<sup>th</sup> Edition, 2010, Authors: Saibal Kanti Bhattacharjee, Robert Thornton Morrison, Robert Neilson Boyd, Publisher: Pearson India, ISBN: 978-0199270293.**
3. **Textbook of Organic Chemistry, 22<sup>nd</sup> Edition, 2022, Authors: Arun Bahl & B S Bahl, Publisher: S Chand, ISBN: 978-9352531967.**

### Supplementary book:

**Organic Chemistry, 11<sup>th</sup> Edition, 2015, Authors: Francis Carey Robert Giuliano Neil Allison Susan Bane, Publisher: McGraw Hill, ISBN: 978-1260148923.**